EXHIBIT 5:

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Page 1
1
            IN THE UNITED STATES DISTRICT COURT
             FOR THE EASTERN DISTRICT OF TEXAS
                     MARSHALL DIVISION
     SIMPLEAIR, INC.,
                             : Case No.
6
          Plaintiff,
                                       2:14-cv-00011-JRG
7
     v.
8
     GOOGLE INC, et al.,
9
          Defendants.
10
11
     SIMPLEAIR, INC.,
                                       Case No.
12
          Plaintiff,
                                       2:13-cv-00937-JRG
13
     v.
14
     GOOGLE INC.,
15
          Defendant.
16
17
18
        VIDEOTAPED DEPOSITION OF STEPHEN B. WICKER
19
                  San Francisco, California
20
                   Monday, March 9, 2015
21
                          9:30 a.m.
22
23
24
25
     Job No. 91240
```

```
Page 2
1
5
6
           The following is the transcript of the
7
     videotaped deposition of STEPHEN B. WICKER held
     at the offices of:
10
11
           Quinn Emanuel Urquhart & Sullivan LLP
12
           50 California Street, 22nd Floor
13
           San Francisco, California
14
15
16
17
           Taken pursuant to applicable Rules of
18
     Civil Procedure, before Linda S. Kinkade,
19
     Registered Diplomate Reporter, Certified
20
     Realtime Reporter, Registered Professional
21
     Reporter, Registered Merit Reporter and
22
     Certified Shorthand Reporter, as licensed by the
23
     State of California.
24
25
```

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Page 3
1
     APPEARANCES:
2
3
     On Behalf of Plaintiff:
                Dovel & Luner
5
                By: Simon Franzini, Esquire
6
                201 Santa Monica Boulevard
7
                Santa Monica, California 90401
8
9
10
11
12
     On Behalf of Defendants:
13
14
                Quinn Emanuel Urquhart & Sullivan
15
                By: Michelle Clark, Esquire
16
                50 California Street
17
                San Francisco, California 94111
18
19
20
21
22
     Also Present:
23
                Duane Groth, Videographer
24
25
```

```
Page 32
 1
     BY MR. FRANZINI:
 2
               What does the term "gateway" mean?
           O.
                           Object to form.
               MS. CLARK:
               THE WITNESS:
                             Within the context of
     the asserted claims and my declaration, there
 6
     are two different gateways. Are you referring
     to one or the other or are you speaking
     generally?
     BY MR. FRANZINI:
10
               I'm speaking generally about the term
           Ο.
11
     gateway.
12
               MS. CLARK: Object to form.
13
     BY MR. FRANZINI:
14
           Q. What is the ordinary meaning of the
15
    term gateway to one of ordinary skill in the art
16
     at the time of the filing of the patents in this
17
     case?
18
           A. Outside of the context of the asserted
19
     claims and simply considering the word in
20
    isolation, a person of skill in the mid-'90s
21
    would have understood a gateway to be an
22
    interface between two different kinds of
23
    networks.
24
     BY MR. FRANZINI:
25
               Would a person of ordinary skill in
           Q.
```

Case 2:14-cv-00011-JRG Document 82-5 Filed 03/16/15 Page 6 of 46 PageID #: 3735 Page 76 1 would have an understanding of what communicatively coupled means, and then that understanding would be contradicted by the understanding that the specification would provide? 6 MS. CLARK: Object to form. 7 In paragraph 104 I state THE WITNESS: that there is a dictionary definition of coupled. So one of ordinary skill would infer 10 from that dictionary definition of coupled that 11 communicatively coupled means two or more 12 instruments are communicating. 13 So a person of skill would have a general 14 understanding of what the term meant, but that 15 understanding would be contradicted by what he 16 or she sees in the written description. 17 BY MR. FRANZINI: 18 So without that contradiction --Ο. 19 withdrawn. 20 But for the what you call a contradiction 21 in the written description, one of ordinary

- skill in the art would understand what
- communicatively coupled means?
- MS. CLARK: Object to form.
- THE WITNESS: A person of skill would

```
Page 77
1
    be able to infer from the dictionary definition
2
    that I cite some understanding of what
3
    communicatively coupled means absent the
    contradiction in the written description.
4
 5
    BY MR. FRANZINI:
6
          Q. Well, one of ordinary skill in the art
7
    would understand that communicatively coupled
8
    means that two or more instruments are
9
    communicating in your opinion?
10
              MS. CLARK: Object to form.
11
               THE WITNESS: Simply working with the
12
    dictionary definition separate from the written
13
    description, a person of ordinary skill would
14
    infer that communicatively coupled means two or
15
    more instruments are communicating.
16
    BY MR. FRANZINI:
17
          Q. So one of ordinary skill in the art
    wouldn't need a definition or an explanation of
18
19
    what communicatively coupled means in the
20
    specification in order to understand the meaning
21
    of that term.
22
              MS. CLARK: Object to form.
23
               THE WITNESS: Without looking at the
24
    written description, a person of skill would be
25
    able to infer a meaning for communicatively
```

- coupled, but that meaning would be contradicted
- by an investigation of the written description
- itself.
- 4 BY MR. FRANZINI:
- Q. I want to ask you about the
- 6 understanding that a person of ordinary skill in
- the art would have of communicatively coupled
- 8 absent any contradiction in the written
- ⁹ description.
- 10 A. Okay.
- 0. Is there anything in this definition
- that would preclude one component of a server to
- be communicatively coupled with a different
- 14 component of that same server?
- MS. CLARK: Object to form.
- THE WITNESS: Which definition are you
- 17 referring to?
- 18 BY MR. FRANZINI:
- 19 Q. I'd like to ask you about the
- definition that one of ordinary skill in the art
- would have of communicatively coupled absent any
- contradiction in the written description.
- A. Okay. So you're referring, then, to
- 24 paragraph 104 where I say that the person of
- skill would infer from the dictionary definition

Page 80 1 BY MR. FRANZINI: Could you please clarify? O. Object to form. MS. CLARK: What I state is that, THE WITNESS: given a starting place, an inference may be 6 drawn to obtain a definition. I'm not saying that without that starting place a person of skill would be able to come up with communicatively coupled. It's not a term of 10 It's not something that the person of skill would have been familiar with. 11 12 BY MR. FRANZINI: 13 A person of ordinary skill would have 14 access to dictionaries such as one that you 15 cite? 16 Α. Yes. 17 So a person of ordinary skill in the 18 art from 1995 to 1996 would be able to 19 understand the meaning of communicatively coupled by drawing on things like dictionary 20 21 definition of coupled? 22 MS. CLARK: Object to form. 23 THE WITNESS: Given the dictionary 24 definition that I cite, a person of skill could 25 infer a definition.

```
Page 96
 1
     described as being communicatively coupled are
 2
     depicted in the written description?
                           Object to form.
               MS. CLARK:
                             I rely on the entire
               THE WITNESS:
     written description, but I do look at this
 6
     figure and cite it as an example.
     BY MR. FRANZINI:
               So is it your understanding that --
           Ο.
     withdrawn.
10
          Looking at Fig. 2, would one of ordinary
11
     skill in the art understand the wireless gateway
    to be communicatively coupled with the
12
13
     information gateway?
14
               MS. CLARK: Object to form.
15
               THE WITNESS: I note in my declaration
16
    that, according to this figure and the
17
     associated text in the written description,
    column 8, line 6, to column 9, line 17, a person
18
19
     of skill would understand that the information
20
    gateway is communicatively coupled to the
21
     wireless gateway 136 and content manager 114.
22
     BY MR. FRANZINI:
23
               Would it also be communicatively
           Ο.
24
     coupled with the subscriber database 130?
25
               MS. CLARK: Object to form.
```

Page 135 1 one of the hosts tried to talk to the other host it would get a response. BY MR. FRANZINI: So defendants' construction has one or more content or online service providers including -- withdrawn. 7 Defendants' construction of an information source is one or more content or online service providers including all content providers on the 10 Internet that provide data to the central 11 broadcast server, right? 12 Α. That's correct. 13 Q. So you just mentioned that it's 14 possible to have a content provider on the 15 Internet that does not provide data to the 16 central broadcast server. 17 MS. CLARK: Object to form. 18 THE WITNESS: It is possible for one 19 host to be on the Internet and another host to 20 be on the Internet and yet they never actually 21 communicate because, for whatever reason, one 22 host never contacts the other. It doesn't mean 23 they are not hosts on the Internet. 24 BY MR. FRANZINI: 25 Q. So as you understand defendants'

```
Page 136
1
    construction, does the information source
2
    actually have to provide data to the central
3
    broadcast server -- withdrawn.
4
          Under your understanding of defendants!
5
    construction of an information source, to meet
    the definition of an information source must a
6
7
    content provider actually provide data to the
8
    central broadcast server or not?
9
              MS. CLARK: Object to form.
10
              THE WITNESS: It must be able to
11
    provide information to the central broadcast
12
     server, if asked. This definition -- this
13
    construction -- does not mean to me that all
14
    content providers on the Internet are constantly
15
    bombarding the central broadcast server with
16
    information.
17
    BY MR. FRANZINI:
18
          Q. So if a content provider is on the
19
    Internet but it never provides data to the
20
    central broadcast server, it could still meet
21
    the definition of an information source in your
22
    opinion?
23
          A. It would be an information source if
24
    asked data was provided. But if it's never
    asked, it could still be an information source
25
```

```
Page 137
1
    even though it never actually provides data.
2
          Q. So defendants' construction is one or
3
    more content or online service providers that
4
    provide data to the central broadcast server,
5
    but you're interpreting that to mean that they
6
    don't actually have to provide data to the
7
    central broadcast server?
8
              MS. CLARK: Object to form.
9
              THE WITNESS: That provide data to the
10
    central broadcast server, if asked.
11
    BY MR. FRANZINI:
12
          O. Does defendants' construction include
13
    an "if asked" at the end?
          A. I think a person of skill would
14
15
    understand that this construction is not
16
    intended to mean that all content providers are
17
    continually providing data to the central
18
    broadcast server.
19
          O. Well, there's a distinction between a
20
    content provider that continuously provides data
21
    to the central broadcast server and one that
22
    does sometimes, correct?
23
              MS. CLARK: Object to form.
24
              THE WITNESS: That is certainly the
25
    case.
```

Page 138 1 BY MR. FRANZINI: 2 Q. So in your opinion a content or online 3 service provider could meet the definition of an 4 information source even though it never provides 5 data to the central broadcast server. 6 MS. CLARK: Object to form. 7 THE WITNESS: Again, if the content 8 provider is capable of providing data when asked 9 and it's never asked, it won't provide data. 10 BY MR. FRANZINI: 11 Q. But it would still be an information 12 source in your opinion. 13 MS. CLARK: Object to form. 14 THE WITNESS: It would be an 15 information source in the sense that, if asked, 16 it would provide data. 17 BY MR. FRANZINI: 18 Please turn to Claim 1 of the '279 O. 19 patent. 20 Α. Okay. 21 Claim 1 recites a system to transmit 22 data from an information source to remote 23 computing devices and that system includes a 24 central broadcast server.

That's correct.

Α.

25

- 1 BY MR. FRANZINI:
- Q. Is it your opinion that a content or
- online service provider must be on the Internet
- to be an information source?
- 5 A. No.
- Q. So the only difference between
- 7 defendants' proposed construction and
- 8 SimpleAir's proposed construction, as I see it,
- 9 is the clause "including all content providers
- on the Internet."
- 11 A. That's correct.
- 0. You mentioned before that you
- understood that to mean that the Internet itself
- could be an information source.
- 15 A. That's correct.
- Q. So the only difference between
- defendants' proposed construction and
- 18 SimpleAir's proposed construction, as you
- understand it, is that, under defendants'
- 20 proposed construction, the Internet itself could
- 21 satisfy the an information source limitation
- whereas in SimpleAir's proposed construction it
- 23 could not?
- A. The plaintiff's proposed construction
- fails to account for the fact that the

Page 150 specification clearly cites the Internet as an 1 information source. 3 Q. So your understanding is that the 4 difference between SimpleAir's proposed 5 construction and defendants' is that defendants' 6 allows for the Internet itself to be an 7 information source whereas SimpleAir does not 8 make that clear? 9 A. That's correct. 10 Q. And that's the reason that you believe 11 the phrase "including all content providers on 12 the Internet " should be included in the 13 construction of an information source? 14 A. That's correct. 15 Your construction of data is content Ο. 16 of a message? 17 With examples, that's correct. I do Α. 18 add "such as news, weather, sports or financial 19 information." 20 What do you mean by "content"? 0. 21 The information-carrying capacity. Α. 22 So would that exclude information Ο. 23 that's in the header of a message? 24 Object to form. MS. CLARK: 25 Within the context of THE WITNESS:

Case 2:14-cv-00011-JRG Document 82-5 Filed 03/16/15 Page 17 of 46 PageID #: 3746 Page 152 1 people of skill would recognize the general definition of data to be the content of a message, as distinguished from header information. BY MR. FRANZINI: 6 Q. Can the word "data" outside the 7 context of the specification of the asserted 8 patents also refer to information that's not the 9 content of a message, such as the header? 10 A. I have seen that less frequently, but I have seen examples of it. 11 12 (Exhibit 7 was marked for identification.) 13 BY MR. FRANZINI: 14 I'm showing you the declaration of Ο. 15 Dr. Knox that was submitted in this case. 16 Α. Yes. 17 Please turn to paragraph 16. 18 Paragraph 16 sets forth two dictionary definitions of the word "data." 19 20 Α. Okay. 21 Outside of the context of the asserted

- 22 patents, do you believe this is an incorrect --
- either of these definitions are an incorrect 23
- definition of the word "data"? 24
- 25 Okay. I think the first definition, Α.

- What I'm trying to understand is can the word
- ² "data" be used outside of the context of the
- patent in a broader sense to include information
- 4 suitable for digital transmission or computer
- use that's not the content of a message, such
- 6 as, for example, header information?
- MS. CLARK: Object to form.
- 8 THE WITNESS: I would not use the term
- ⁹ that way.
- 10 BY MR. FRANZINI:
- Q. Well, my question is a little
- different. Can the word "data" be used in a
- broader sense that includes header information?
- MS. CLARK: Object to form.
- THE WITNESS: I have seen it used that
- way. I don't believe it's a consistent usage of
- the term. I don't use it that way, again,
- unless I append modifiers to the term "data."
- 19 BY MR. FRANZINI:
- Q. So as it's used by people of ordinary
- skill in the art, data can include things like
- the header information in certain circumstances,
- but you personally don't like that use of the
- word "data"?
- MS. CLARK: Object to form.

- THE WITNESS: I think it's more
- accurate to say that there are people who use
- "data" in a loose manner to cover anything
- that's transmitted, and I don't agree with that.
- I like to distinguish data from control
- 6 information, and I have in the past.
- 7 BY MR. FRANZINI:
- Q. Please turn your attention back to
- 9 paragraph 16 of Dr. Knox's declaration. Looking
- at the first definition of data in paragraph 16,
- that doesn't distinguish between the content of
- 12 a message and other information such as the
- header of a message, does it?
- MS. CLARK: Object to form.
- THE WITNESS: Well, it does say
- information which is represented in a manner
- suitable for digital transmission or computer
- use. It would depend on how you read the word
- "information." If you were going to include
- control information, then you're correct.
- 21 BY MR. FRANZINI:
- Q. Do you provide any definitions in
- your -- withdrawn.
- Do you provide any dictionary definition
- in your declaration of "data" that limit the

- 1 BY MR. FRANZINI:
- Q. Now you mentioned before that some
- people use the word "data" to refer to
- information other than the content of the
- message, but that you disagree with that use.
- A. That's correct.
- 7 Q. Now the patent actually uses the word
- 8 "data" to refer to information other than the
- 9 content of a message, correct?
- 10 A. Can you point me to a specific
- 11 instance?
- 0. Well -- withdrawn.
- Do you know whether or not the patent uses
- the word "data" to refer to information other
- than the content of a message?
- MS. CLARK: Object to form.
- THE WITNESS: Well, it's my
- recollection that the patents are -- it's the
- same written description -- they are consistent
- in talking about data as being the content of a
- message.
- 22 BY MR. FRANZINI:
- Q. So you're not aware of anywhere in the
- written description of the patent where the word
- ²⁵ "data" is used to refer to information other

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Page 159
     than the content of a message?
1
 2
               MS. CLARK: Object to form.
 3
               THE WITNESS: Not that I recall.
 4
     BY MR. FRANZINI:
 5
           0.
               Please turn to -- pull out the '279
 6
     patent, please.
 7
               Okay.
           Α.
 8
               Turn to column 22.
           Ο.
 9
           Α.
              All right.
10
           Q. On line -- well, starting at line 13
11
     of the -- of column 22 of the '279 patent, it
12
     says:
13
               The process of targeting data to
14
               a user utilizing real and virtual
15
               addresses is illustrated in
16
               Fig. 15. Data blocks are built
17
               in the information gateway and
               all applicable real and virtual
18
19
               addresses are determined based on
20
               the type of information in the
               data block and user subscription
21
22
               data from the subscriber
23
               database.
24
           A.
               Okay.
              Does "user subscription data" refer to
25
           Q.
```

```
Page 160
1
    the content of the message?
2
              MS. CLARK: Object to form.
3
               THE WITNESS: Okay. So what's being
4
    described here, data blocks are built in the
5
    information gateway and all applicable real and
6
    virtual addresses are determined based on the
7
    type of information in the data block, what sort
8
    of content is there in the data block, and user
9
    subscription data from the subscriber database.
10
          So the information gateway is pulling
11
    subscription data from the subscriber database
12
    in an effort to determine who should receive the
13
    content.
14
    BY MR. FRANZINI:
15
              Now that subscription data does not
16
    refer to the content of a message, right?
17
          A. Subscription data is not the content
18
    of the message; it is the content of the
19
     subscriber database. So it is content from the
20
    subscriber database as opposed to content that
21
    would be in the data blocks.
22
          Q. Please turn to column 22, line 59.
23
          A. Okay.
24
              Line 59, the written description of
          0.
25
    the '279 patent says:
```

```
Page 161
1
               The communication server 38
2
               receives data from the wireless
               device via the interface,
3
4
               extracts the different types of
5
               data blocks, passes public data
6
               blocks to the user interface
7
               alert panel and processes private
8
               data blocks locally.
9
          A.
              Okay.
10
              The communication server 38 is also
          Q.
11
               responsible for initializing the
12
               wireless device and maintaining
13
               the address database which
14
               determines which received
15
               messages will be processed. In
16
               addition, it provides diagnostic
17
               data on the received messages for
               software debug purposes.
18
19
          A.
              Okay.
              Now diagnostic data does not refer to
20
21
     the content of the message in this passage,
22
    correct?
23
               MS. CLARK: Object to form.
24
               THE WITNESS: Just to be clear, the
25
    term "data" is being construed in light of the
```

- claim in which it's used, and that's what I
- addressed. There are other types of data in the
- world other than data that goes into the claimed
- messages in the -- in the asserted claims of the
- 5 patent. So, yes, there are different kinds of
- data.
- 7 BY MR. FRANZINI:
- 8 O. And your definition of data is one
- ⁹ that you believe is appropriate in the context
- of the claims as they are described in the
- written description?
- 12 A. Exactly.
- Q. So just to be clear, your construction
- of the word "data" isn't a general definition of
- data; it's a particular definition that you
- believe applies within the context of the
- 17 asserted claims?
- MS. CLARK: Object to form.
- THE WITNESS: The construction I
- 20 provide is what I believe the person of ordinary
- skill would believe data to mean in the context
- of the claims in light of the written
- description.
- 24 BY MR. FRANZINI:
- Q. So it's not a general definition of

```
Page 168
 1
     Withdrawn.
2
          It's your belief that the written
3
     description and the figures that you cite all
4
    use the word "data" consistent with defendants'
5
    proposed construction but none of them define
6
    data to mean content of a message or disclaim
    certain meanings of the word "data."
7
8
               MS. CLARK: Object to form.
9
               THE WITNESS: None of what I cite sets
10
    itself forth as an explicit definition for the
11
    word "data."
12
    BY MR. FRANZINI:
13
           Q. It also doesn't disclaim certain
14
    meanings of data, correct?
15
               MS. CLARK: Same objection.
16
               THE WITNESS: There's no explicit
17
    disavowal of what data covers or doesn't cover.
18
     BY MR. FRANZINI:
19
               So let's take a look at the figure --
20
     withdrawn.
21
           Let's take a look at paragraph 49 of your
22
     declaration.
23
           Α.
               Okay.
24
               Now it's your position that the
25
     description in the -- withdrawn.
```

```
Page 175
 1
     forth any opinions regarding what the specific
 2
                  They are a form of overhead.
     flags mean.
     don't consider them to be data.
               Let's see if we can get some more
     clarity on what happens to the data blocks.
 6
     Withdrawn.
 7
           So the data block depicted in Fig. 5 is
     broken down into messages and then the messages
     are broken down into packets?
10
           Α.
               That's correct.
11
           Q. Is all the information in Fig. 5
12
     included in the messages that are then broken
13
     down into packets or is only some of the
14
    information in Fig. 5 included in those
15
    messages?
16
               MS. CLARK: Object to form.
17
               THE WITNESS: Looking at the bottom of
18
    column 12 and going on to column 13 of the '279,
19
     column 12, line 64:
20
               Data from the information sources
21
               is packed into 8-bit binary
22
               format data blocks in the central
23
               broadcast server 34. The two
24
               basic data block types are
25
               illustrated in Figs. 5 and 6.
```

```
Page 176
1
          You're asking about Fig. 5.
2
          In particular, Fig. 5 defines the
3
          8-bit binary format information
 4
          notification data blocks while
 5
          Fig. 6 defines the 8-bit binary
6
         format for personal alert
7
          notification data blocks.
8
          Information notification data
9
          blocks illustrated in Fig. 5
10
         contain general information
11
          targeted to all users, including
12
          but not limited to news headlines
13
          and stories, sports scores,
14
         financial market information,
15
          data, and so forth.
    Moving ahead a little bit to line 11:
16
17
          Prior to transmission at the
         central broadcast server the data
18
19
          packets are encoded using a
20
          protocol suitable for the
21
          transmission of information.
         Data blocks are packetized for
22
23
          transmission over the wireless
24
          broadcast network using
25
          transmission protocols.
```

- It's my understanding, based on that, that
- all of Fig. 5 is broken down -- or segmented is
- the typical term -- for inclusion in the payload
- of, for example, an IP packet -- actually TCP
- packet and then an IP packet.
- 6 BY MR. FRANZINI:
- ⁷ Q. So all the information in Fig. 5.1 is
- included in the payload of a TCP/IP packet?
- ⁹ A. There is really no such thing as a
- 10 TCP/IP packet. There is TCP and IP and then
- there's many dozens of other protocols within
- the general framework of TCP/IP.
- TCP is the Transmission Control Protocol.
- 14 It operates the transport layer, and so it has a
- payload, if you want to set up a TCP session --
- that's not described in the patent -- but if you
- were to do so, you would use the payload of the
- 18 TCP packet for your block and then take the TCP
- segment and put it in the payload of an IP data
- 20 crimp.
- Q. So all the information depicted in
- Fig. 5 is included in a TCP packet?
- A. For example, yes, which would then be
- encapsulated in an IP packet for transmission.
- Q. So I want to go back to the breaking

```
Page 180
 1
               Well, I believe that Fig. 9 --
 2
     withdrawn.
           We'll get to the single packet data block
     situation in a second.
 5
           Α.
               Okay.
 6
               I want to talk about a situation where
           Ο.
     a data block is broken up into multiple packets.
           Α.
               Okay.
9
           0.
               Now you mentioned before that all the
10
    information in a data block that's set forth in
11
    Fig. 5 is included in the payload of a packet.
12
          A. One or more packets.
13
              One or more packets. And those one or
14
    more packets, their structure is described in
15
    Fig. 8?
16
               MS. CLARK: Object to form.
17
               THE WITNESS: Fig. 8 is the 8-bit
18
    binary format for a packet.
19
    BY MR. FRANZINI:
20
              Now when you said payload of the
21
    packet before, were you referring to the packet
22
    contents, Fig. 8?
23
          A. Yes.
24
               MS. CLARK: Object to form.
25
    BY MR. FRANZINI:
```

```
Page 181
1
          O. So the information that's described in
2
    Fig. 5 is in the packet contents field described
3
    in Fig. 8?
4
          A. Or distributed across several packet
5
    contents, that's right.
6
          Q. And now in the case of a -- where a
7
    data block is enclosed in a single packet, which
8
    we were just discussing, does Fig. 9 describe
9
    the structure of a single packet data block?
10
          A. Yes.
11
              And now in the packet contents, all of
12
    the information in Fig. 5 would be in the packet
13
    contents?
14
              MS. CLARK: Object to form.
15
              MR. FRANZINI: Withdrawn. I'll give
16
    it to you again.
17
    BY MR. FRANZINI:
18
          Q. In the situation where a data block as
19
    described in Fig. 5 is included in a single data
20
    packet, all of the information in Fig. 5 would
21
    be in the packet contents field of Fig. 9?
22
          A. That's correct, as based on the
23
    portion of the written description that I just
24
    read.
25
              MR. FRANZINI: Shall we take a break?
```

```
Page 183
     that we talked about earlier today?
 1
 2
           Α.
               Yes.
               So what you mean is that the -- well,
 4
     withdrawn.
 5
           So information source for a particular
     piece of data must necessarily produce the
 6
     content of a message by either creating the
     content of the message itself or combining the
     content from subparts it receives from other
10
     sources?
11
           Α.
               Yes.
12
               So here you list two ways that an
13
     information source can produce data. It can do
14
     it by creating the content or by taking the
15
     content from elsewhere and combining it.
16
               MS. CLARK: Object to form.
17
     BY MR. FRANZINI:
18
               Is that correct?
           Q.
19
           Α.
               That's correct.
20
               So in your opinion producing data
           Ο.
21
     includes producing data by creating it, creating
22
     the content, and producing data by combining the
23
     content?
24
                            Object to form.
               MS. CLARK:
25
                              What I state is the
               THE WITNESS:
```

```
Page 192
1
               MS. CLARK: Object to form.
                             Generation is an act of
               THE WITNESS:
     creation.
                It's not an act of simply passing
     along something what it obtains from elsewhere.
     It's the standard English meaning of the word
6
     "generate."
     BY MR. FRANZINI:
8
          Q. So the word "generating" is what
9
    causes you to believe that generating data
10
    includes producing data by creating the content
11
    but not producing data by combining the content
12
    received from other sources?
13
               MS. CLARK: Object to form.
14
              THE WITNESS: Generating does not
15
    include combining from other sources.
16
    BY MR. FRANZINI:
17
          Q. So your understanding that the claim
18
    phrase "generating data" excludes combining
19
    content from other sources comes from the word
20
    "generating"?
21
               MS. CLARK: Object to form.
22
               THE WITNESS: The word "generate" does
23
    not cover combining from other sources.
24
    BY MR. FRANZINI:
25
          Q. Now suppose that -- withdrawn.
```

```
Page 193
1
          Do you have any -- did you provide any
2
    dictionary definitions of the word "generating"
3
    in your declaration?
4
          A. No, I don't believe I did.
5
              Did you provide any evidence at all
          0.
6
    about the meaning of the word "generating"?
7
          A. Other than my opinion and what I cite
8
    from the written description, no.
9
          0.
              When you say what you cite from the
10
    written description, what are you referring to?
          A. The use of the word "generate" in
11
12
    paragraph 57.
13
              Well, in paragraph 57 it looks like
14
    you're quoting the claim term "generating data."
15
          A. That's correct.
16
          Q. You're not citing the word "generating"
17
    data" in the written description, correct?
18
              The data means generated by the
          A.
    information sources is what I'm citing in
19
20
    paragraph 57.
21
              Do you quote a passage from the
22
    written description that uses the word
23
     "generating" in paragraph 57?
24
          A. It has the word "generated." It's not
25
    the specific conjugation you're referring to,
```

```
Page 194
1
    but it says the 154 patent in column 7, lines
2
    59 -- line 59 through column 8, line 5, includes
3
    the words "the data feeds generated by the
4
    information sources."
5
          Q. The paragraph -- withdrawn.
6
          Does that paragraph say how the
7
    information sources produce the data feeds?
8
          A. No, it does not.
9
          Q. It doesn't say that, for example, that
10
    the data feeds are produced by creating the
11
    content of the data, correct?
12
              MS. CLARK: Object to form.
13
              THE WITNESS: It says the data feeds
14
    generated by the information sources.
15
    BY MR. FRANZINI:
16
          Q. Is there anything about this paragraph
17
    that you cite that leads you -- that you can
    point to as evidence of the fact that the word
18
19
    "generated" means producing by creating and
20
    excludes producing by combining?
21
          A. I would note that the data feeds
22
    generated by the information source still makes
23
     sense, if we say the data feeds created by the
24
    information sources, but it's hard to see how an
25
    information source is actually a source if
```

```
Page 195
1
    generated could mean producing data to be
2
    transmitted by creating or combining data, which
3
    would mean there's some other source for the
4
    source.
5
          Q. Well, the information source is the
6
    source of the data feeds, correct?
7
          A. That's correct.
8
          Q. And those data feeds could be produced
9
    by generating data or by combining data,
10
    correct?
11
              That's correct, given the caveats we
12
    went through earlier as to how produce means a
13
    bit more than simply combine or generate.
14
          Q. So there's nothing about this sentence
15
    that tells you, besides the word "generated"
16
    itself, that tells you that the data feeds are
17
    produced at the information source as opposed to
18
    combined by the information source.
19
              MS. CLARK: I'm going to object to
20
    form.
21
              MR. FRANZINI: I might have said that
22
            I'll give it to you again. Withdrawn.
    wronq.
23
    BY MR. FRANZINI:
24
          Q. There's nothing about this paragraph
25
    that you cite in paragraph 57 of your
```

```
Page 196
1
    declaration other than the word "generated"
2
    itself that tells you whether the data feeds are
3
    produced by the information sources by combining
4
    data or by creating the content of the data,
5
    correct?
6
          A. Aside from the general understanding
7
    of the word "generate," there's nothing else in
8
    this citation that would point to creating as
9
    opposed to creating and combining.
10
          Q. So the only support you have for
11
    the -- your opinion that generating is limited
12
    to producing by creating and excludes producing
13
    by combining is your understanding of the word
14
    "generating," correct?
15
              MS. CLARK: Object to form.
16
              THE WITNESS: My understanding is
17
    certainly the main contribution I make in this
18
    discussion.
19
    BY MR. FRANZINI:
20
          O. Well, it's not just -- well,
21
    withdrawn.
22
          You don't point to any evidence other than
23
    your own opinion that the word "generating"
24
    refers only to producing by creating the content
25
    and not producing by combining, correct?
```

```
Page 197
1
              MS. CLARK: Object to form.
2
              THE WITNESS: The only specific
3
    evidence that I'd point to, for example, in 58
4
    is my own understanding of the word "generate,"
5
    what I believe a person of skill would have
    understood at the time of the invention.
6
7
    BY MR. FRANZINI:
8
          Q. Well, that's not just an example.
9
    It's the only thing you point to, right?
10
              MS. CLARK: Object to form.
11
              THE WITNESS: The declaration speaks
12
    for itself, but, again, 58 does constitute my
13
    opinion of what a person of skill would have
14
    thought the term meant.
15
    BY MR. FRANZINI:
16
          Q. So you provide your opinion of what a
17
    person of skill would understand the word
18
    "generating" to mean, but you don't cite to any
19
    intrinsic or extrinsic evidence that supports
20
    that opinion, correct?
21
              MS. CLARK: Object to form.
22
              THE WITNESS: I don't point to any
23
    extrinsic evidence. I do point to the intrinsic
24
    evidence, and my understanding of what a person
25
    of skill would have thought the term meant is
```

```
Page 198
1
    consistent with that intrinsic evidence.
2
    BY MR. FRANZINI:
3
          O. So you point to the intrinsic evidence
4
    when -- withdrawn.
5
          When you say you point to the intrinsic
6
    evidence, you mean that paragraph that we just
7
    discussed, right?
8
          A. That's correct.
9
          Q. And we agree that that paragraph
10
    doesn't actually say that generating --
11
    withdrawn.
12
          We agree that the only thing in that
13
    paragraph -- withdrawn.
14
          We agree that that paragraph doesn't give
15
    any information about whether the word
16
    "generated" means producing by combining or
17
    producing by creating, correct?
18
              MS. CLARK: Object to form.
19
              THE WITNESS: I believe the use of the
20
    word "generated" in the cited portion of the
21
    written description is consistent with my
22
    construction.
23
    BY MR. FRANZINI:
24
          O. Is it inconsistent with an
25
    understanding of generating that includes
```

```
Page 199
 1
    producing by combining?
2
          A. That's not something I considered.
3
    Just sitting here it does not appear to be
4
    inconsistent.
5
          Q. So the paragraph you cite on page --
6
    withdrawn.
7
           The passage from the written description
8
    that you cite on paragraph 57 of your
9
    declaration is consistent with the word
10
    "generating" meaning producing by combining and
11
    it's also consistent with an interpretation of
12
    generated that is limited to producing by
13
    creating, correct?
14
               MS. CLARK: Object to form.
15
               THE WITNESS: Other than the general
16
    understanding of the word "generate," there
17
    would be no inconsistency either way.
18
     BY MR. FRANZINI:
19
               Now in paragraph 59 you discuss the
           0.
20
     Ouote.com example?
21
           Α.
               Yes.
22
               And so it seems like -- withdrawn.
           Ο.
23
           You make two assertions about the
24
     Ouote.com example. The first is that there is
     no indication that the claims of the '154 are
25
```

- 1 BY MR. FRANZINI:
- Q. Well, how about -- take a Macintosh
- 3 computer. Would a Macintosh computer that is
- 4 capable of running Windows necessarily be
- 5 configured to run Windows?
- 6 MS. CLARK: Object to form.
- 7 THE WITNESS: If you define
- 8 "configured to run Windows" as meaning having
- ⁹ the software on the computer to run Windows,
- then it's not necessarily there.
- 11 BY MR. FRANZINI:
- Q. Is it possible to receive data without
- parsing it?
- A. One can receive data without in any
- way breaking or dividing the data up into
- components.
- Q. Please turn to page -- withdrawn.
- Please turn to paragraph 76 of your
- ¹⁹ declaration.
- A. Okay.
- O. You mention in the second half of
- paragraph 76... the specifications of the '279
- patent and the '154 patent make clear that the
- claimed invention uses different parsers based
- on the type of content of the incoming data, and

- 1 O. --
- A. And, again, if you don't mind just
- 3 telling me which paragraph.
- 4 O. Oh, sure. Well, I'd like to ask you
- 5 specifically your opinion that the parsers must
- 6 correspond to the content of a message --
- A. Okay.
- Q. -- which is set forth in paragraph 73
- ⁹ of your declaration.
- 10 A. Okay.
- 11 Q. Now a parser, apart from the -- well,
- withdrawn.
- So you mentioned before that the reason
- that you believe that the parser must correspond
- to the content of the message was the written
- description, correct?
- 17 A. That's correct. Every parser
- described in the written description is a parser
- associated with particular content.
- Q. So the word "parser" in general
- doesn't necessarily require an association with
- particular content; rather, a person of ordinary
- skill in the art would see the word "parser" in
- the claims and then turn to the written
- description to understand what was meant, what

```
Page 314
 1
               MS. CLARK: Object.
               THE WITNESS:
                             Sorry.
     BY MR. FRANZINI:
4
          O. And you state, for example, Fig. 24(d)
5
    depicts a stock viewer that displays all stock
6
    ticker information from a stock information
7
    source.
8
          A. That's correct.
9
          Q. Now is stock ticker information from
10
    an information source -- withdrawn.
11
          Is stock ticker information from a stock
12
    information source a category of information?
13
               MS. CLARK: Object to form.
14
              THE WITNESS: You could define a
15
    category as information from a stock ticker, if
16
    you so chose.
17
    BY MR. FRANZINI:
          Q. You also say that the specification
18
19
     similarly -- withdrawn.
          You say that the specification similarly
20
21
    discloses an email viewer capable of reading all
22
    incoming email.
23
          A. That's correct.
24
          Q. Is email a category of information?
25
          A. You could define a category as email
```

- information.
- Q. Now in paragraph 134 you say that you
- do not believe it is necessary to add
- 4 information received from an information source
- 5 that provides data to the central broadcast
- 6 server because it is clear from the claim
- ⁷ language itself that the claim data is
- 8 transmitted from an information source to a
- 9 central broadcast server. Well, withdrawn.
- You say that in paragraph 134 in the
- second-to-last sentence, you say, it is already
- 12 clear from the claim language that the viewer is
- associated with the data from an information
- source to a central broadcast server.
- 15 A. That's correct.
- Q. So your objection to including the
- 17 limitation -- withdrawn.
- Your objection to including the
- requirement that a viewer be for viewing
- information received from an information source
- that provides data to the central broadcast
- server is that you believe it to be redundant.
- MS. CLARK: Object to form.
- THE WITNESS: I believe it would be
- ²⁵ redundant.

- Q. Is it your understanding that the term
- "subscriber database" as it is used in the
- 3 asserted claims is narrower than its ordinary
- meaning?
- A. Yes -- or it's general meaning, I
- should say.
- 7 Q. So the general meaning of a subscriber
- 8 database would not require the database to
- 9 determine which subscribers receive which types
- of content, but it's your opinion that the way
- that the word is used in the asserted claims it
- has that requirement?
- MS. CLARK: Object to form.
- 14 THE WITNESS: It's my understanding
- that subscriber database, as used in the claims
- and as used in the written description, is a
- database to determine which subscribers receive
- which types of content. It is not simply, for
- example, a list of subscribers.
- 20 BY MR. FRANZINI:
- Q. But a list of subscribers would meet
- the general definition of a subscriber database?
- MS. CLARK: Object to form.
- BY MR. FRANZINI:
- Q. It's not the particular definition of

```
Page 319
1
    subscriber database that you believe applies to
2
    the use of that word in the asserted claims?
3
              MS. CLARK: Sorry about that. Object
4
    to form.
5
              THE WITNESS: Absent the patent, the
6
    claim language, the written description, if we
7
    were to discuss, for example, the subscriber
8
    database for The Economist magazine, there would
9
    be information in there that would not
10
    necessarily determine which subscribers receive
11
    which types of content, I assume. Maybe they do
12
    have different versions for different people,
13
    but let's suppose it's simply a list of
14
    subscribers to a neighborhood newsletter --
15
    that's a better example -- it's simply going to
16
    be a list of people who receive the newsletter
17
    and that's it. That's clearly not what's meant
18
    in the claims that we've been discussing.
19
    BY MR. FRANZINI:
20
          O. So that example you just gave would
21
    meet the general definition of subscriber
22
    database but in your view would not meet the
23
    term "subscriber database" as it's used in the
24
    claims and in the written description of the
25
    asserted patents?
```

- 1 A. That's correct.
- Q. The portion of the written description
- 3 that you believe supports that opinion is set
- forth in paragraph 137 of your declaration?
- A. Yes.
- Q. The two passages that you cite are
- describing embodiments in the specification?
- A. That's correct.
- 9 Q. Is it your understanding that, if a
- patentee submits a definition to the Patent
- 11 Trial and Appeal Board in connection with a
- 12 preliminary response to a post-grant proceeding
- such as an inter partes review or a covered
- business method review, that that definition is
- binding in litigation?
- MS. CLARK: Object to form.
- THE WITNESS: It's my understanding
- that statements made by the applicant in a
- variety of proceedings do indicate what the
- 20 patentee believes is the extent of his or her
- 21 invention.
- 22 BY MR. FRANZINI:
- Q. And you're referring to statements
- made in the prosecution history?
- A. That would be an example.